

ATTORNEY'S DOCKET NO: C01104/70001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Frederick M. Morgan et al.  
Serial No: 09/870,418  
Filed: May 30, 2001  
For: A METHOD AND APPARATUS FOR AUTHORIZING AND  
PLAYING BACK LIGHTING SEQUENCES

Examiner: unknown  
Art Unit: 2152

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**CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2100, Before Final, fax number 1-703-746-7239, on the 18<sup>th</sup> day of January, 2002.

  
\_\_\_\_\_  
Joseph Teja, Jr., Reg. No. 45,157

Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

**PART II: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

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PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Frederick M. Morgan et al., Applicants*

By: 

Joseph Teja, Jr., Reg. No. 45,157  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Docket No. C01104/70001

Dated: January 18, 2002

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<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/870,418	ATTY. DOCKET NO.: C01104/70001
		FILING DATE: May 30, 2001	
		APPLICANT: Frederick M. Morgan et al.	
		GROUP ART UNIT: 2152	EXAMINER: unknown
Sheet		of	

## U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of Issue of Cited Document MM-DD-YY
		Number	Kind Code		
	*	3,898,643		Ettlinger	08/05/75
	*	5,621,282		Haskell	04/15/97
	*	5,629,587		Gray et al.	05/13/97
	*	5,769,527		Taylor et al.	06/23/98

## FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
	*		FR 2 628 335			09/15/89	N
	*		EP 0495305A2			07/22/92	N
	*		EP 0752632A2			01/08/97	N
	*		JP 10208886			08/07/98	
	*		WO 99/31560			06/24/99	N

## OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
	*	International Search Report from PCT Application PCT/US00/19274		

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

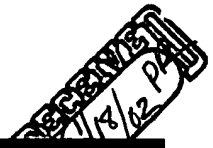
\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/616,214, filed 07/14/00, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

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Official

**F A X C O V E R**

**Date** January 18, 2002 **Number of pages (including cover):** 5  
**To** Unknown  
**Applicant** Morgan, et al.  
**Serial No:** 09/870,418  
**Filing Date:** May 30, 2001  
**Title:** A METHOD AND APPARATUS FOR AUTHORIZING AND PLAYING BACK LIGHTING SEQUENCES  
**Fax Number:** 703-746-7239  
**Number of Pages (including Cover Sheet):** 5

ORIGINAL DOCUMENTS SENT: ☐ 1st Class Mail ☐ Overnight Mail ☐ Air Mail ☒ Not Sent

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that a Transmittal Letter, Information Disclosure Statement, and Form 1449 in the above-referenced application (Total of 5 pages including cover sheet) is/are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Joseph Teia, Jr.

Typed or Printed Name of Person

Date: January 18, 2002

Signing Certification

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**WG&S File Number:** C01104/70001

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**Wolf Greenfield Fax Number: 617.720.2441**

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617.720.3500 | fax 617.720.2441 | [www.wolfgreenfield.com](http://www.wolfgreenfield.com)

**PATENTS TRADEMARKS COPYRIGHTS TECHNOLOGY TRANSFERS LITIGATION**

Attorney's Docket No.: C01104/70001**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Joseph Teja, Jr., Reg. No.: 45,157

Commissioner For Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

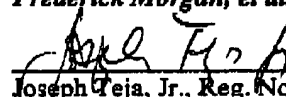
Transmitted herewith is/are the following document(s):

- ☒ Information Disclosure Statement and Form 1449  
☒ Facsimile Transmittal

If the enclosed papers are considered incomplete, the Technology Center to which the enclosures are directed is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is believed to be necessary. If, however, a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825.

Respectfully submitted,  
*Frederick Morgan, et al., Applicant(s)*

  
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Attorneys for Applicant(s)

Docket No. C01104/70001  
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